



The Commission for
Local Administration in England

**The Local Government Ombudsman's
Annual Letter
Lincoln City Council
for the year ended
31 March 2007**

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

Annual Letter 2006/07 - Introduction

This annual letter provides a summary of the complaints we have received about your authority. Where possible, we comment on the authority's performance and complaint-handling arrangements to assist with your service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

Complaints received

Volume

In the year ending 31 March 2007, I received 20 complaints about your Council. The previous year I received 31. There are many factors that influence the number of complaints made about a council and no conclusions should be drawn from this statistic.

Character

The total of 20 complaints was comprised of just four categories. Housing related complaints came to four (it was 10 the previous year). 'Other' fell by two from nine to seven. Planning complaints remained constant at seven and two of the complaints were about highways & transport issues (there were none in the previous year). I received no complaints about housing or council tax benefit.

Decisions on complaints

Reports and local settlements

A 'local settlement' is a complaint that is resolved by the Council taking, or agreeing to take, action which we consider is a satisfactory response to the complaint so that the investigation can be discontinued. In 2006/07 27.7% of complaints dealt with by the three Local Government Ombudsmen (excluding premature and those outside jurisdiction) were resolved by local settlement. When we complete an investigation we must issue a report.

Decisions

In 2006/07 I took 21 decisions, a figure which differs from the number of complaints received because of work in hand at the start and finish of the year.

Of those 21 decisions: two were outside my jurisdiction, three I exercised discretion not to investigate, in seven I found no evidence of maladministration and nine were 'premature' (in our view the Council had not been given adequate opportunity to investigate and resolve them for itself, so were returned to the Council to consider through its internal complaint procedure).

I did not issue any reports about your Council and identified no maladministration which required a local settlement. Nor did my investigations reveal any systemic problems in the way Council services are delivered.

Other findings

As you are aware, we ask for comprehensive responses to our enquiries within 28 days. Your Council responded in an average of 38 days. I should qualify this by explaining that the average time was raised significantly by one response which took 79 days. But for this response the average time was a little over 24 days.

Your Council's complaints procedure and handling of complaints

No problems with the way in which your Council handles complaints have emerged from my investigations. The guidance you issue is clear and unambiguous and I am very pleased to see that your web-site contains a prominent link to the LGO.

In addition to this, I know the Council is committed to providing a good service in complaints handling and in summer 2006 we ran two training courses for you. The feedback from this was been very positive.

Training in complaint handling

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. The feedback from councils that have taken up the training is very positive.

The range of courses is expanding in response to demand and in addition to the generic Good Complaint Handling (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution), we can run open courses for groups of staff from smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

Liaison with the Local Government Ombudsman

I am pleased to say I don't have much to say about your Council's liaison. The absence of any problems or issues is testament to the effective liaison between our organizations.

LGO developments

You may be interested in the development of our initiative to improve the first contact that people have with us. A new Access and Advice Service will provide a gateway to our services for all complainants and enquirers. It will encourage telephone contact but will also deal with email, text and letter correspondence. We will let you have further details about how it will operate and the expected timescales and discuss with you the implications for the Council.

I hope you have received our latest special report about telecommunications masts. It draws on our experience of dealing with complaints about planning applications for masts which can be highly controversial. We recommend simple measures that councils can adopt to minimise the chances of maladministration occurring.

In July we will be publishing a special report about the difficulties that can be encountered with complaints when local authorities deliver services or discharge their functions through partnerships. *Local partnerships and citizen redress* provides advice and guidance on how these problems can be overcome by good governance arrangements that include an effective complaints protocol.

Conclusions and general observations

I welcome this opportunity to comment on our experience of complaints about the Council over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

**Anne Seex
Local Government Ombudsman
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June 2007

Encs: Statistical data
Note on interpretation of statistics
Leaflet on training courses (with posted copy only)

Complaints received by subject area	Benefits	Housing	Other	Planning & building control	Public finance	Transport and highways	Total
01/04/2006 - 31/03/2007	0	4	7	7	0	2	20
2005 / 2006	5	10	9	7	0	0	31
2004 / 2005	5	7	13	6	2	1	34

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
01/04/2006 - 31/03/2007	0	0	0	0	7	3	2	9	12	21
2005 / 2006	0	3	0	0	8	2	1	11	14	25
2004 / 2005	0	1	0	0	7	5	5	16	18	34

See attached notes for an explanation of the headings in this table.

Response times	FIRST ENQUIRIES	
	No. of First Enquiries	Avg no. of days to respond
01/04/2006 - 31/03/2007	4	38.0
2005 / 2006	13	26.2
2004 / 2005	6	31.2

Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days %	29 - 35 days %	>= 36 days %
District Councils	48.9	23.4	27.7
Unitary Authorities	30.4	37.0	32.6
Metropolitan Authorities	38.9	41.7	19.4
County Councils	47.1	32.3	20.6
London Boroughs	39.4	33.3	27.3
National Park Authorities	66.7	33.3	0.0